

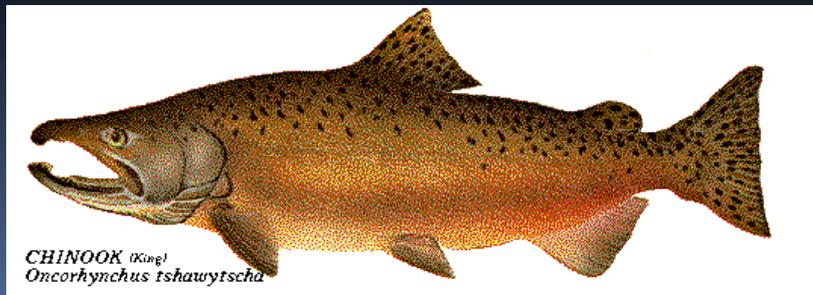
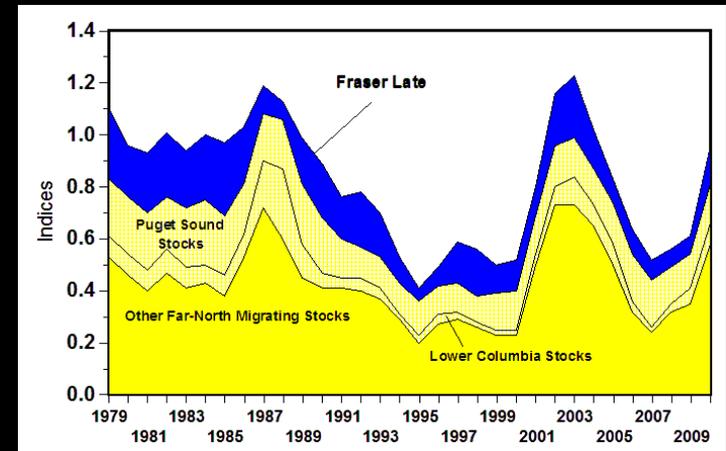


Mortality Rates and Birth Rates of SRKWs and CTC Abundance Indices for Fraser Stocks of Chinook Salmon

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Evaluating the Effects of Salmon Fisheries on Southern Resident Killer Whales – Workshop 2

13 – 15 March 2012
Vancouver, BC Canada



Goal

To judge the suitability of CTC abundance indices and their components for determining the possibility that abundance of Chinook salmon meaningfully affects the dynamic rates of SRKWs.

Tasks

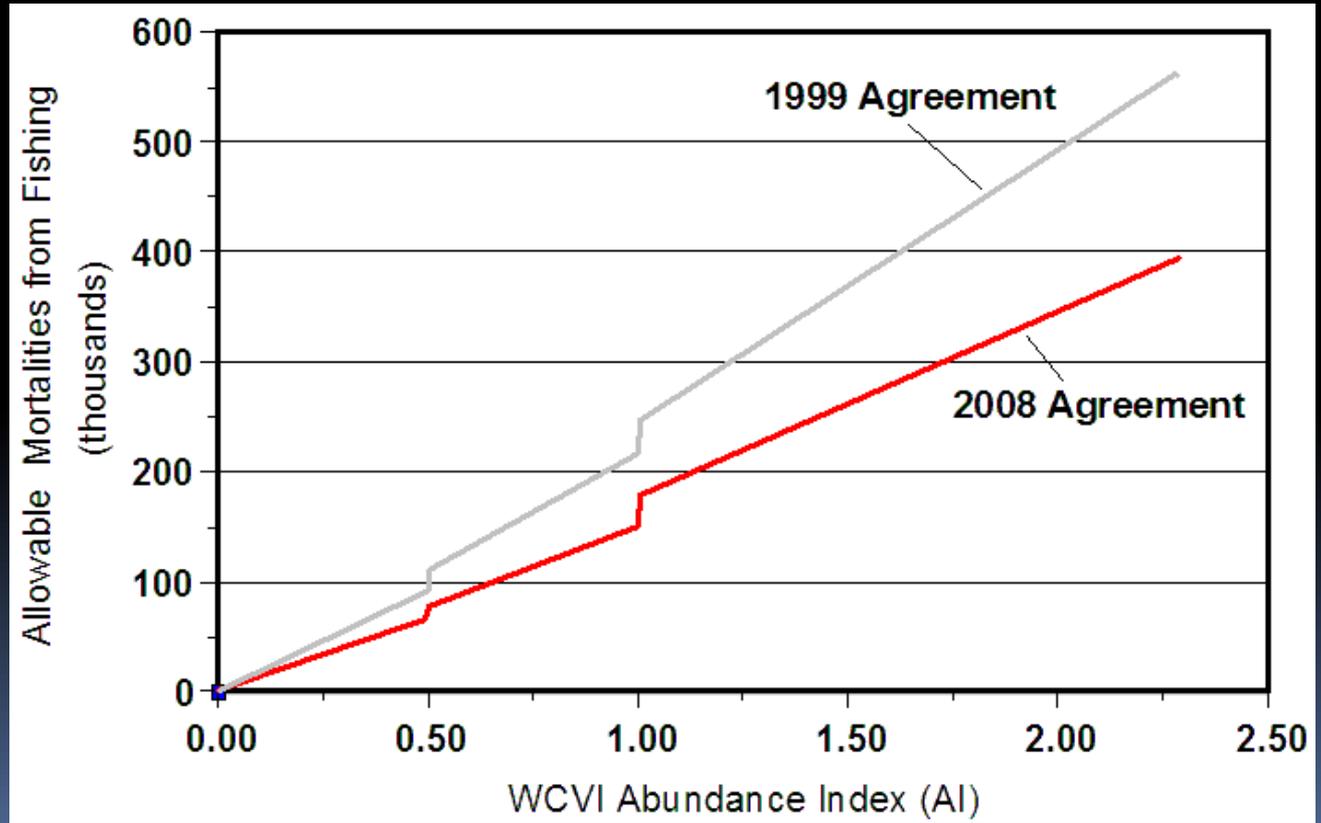
- Describe how CTC abundance indices (AIs) for Chinook salmon are calculated.
- Decompose AIs for the three AABM fisheries to correspond to what we know of the Chinook diet of SRKWs.
- Reconstruct the decomposed indices into covariates.
- Look for statistical associations that represent a biologically meaningful portion of the observed 'variation' in dynamic rates for SRKWs.
- Discuss findings relevant to the observational nature of the data used in the analysis.

AI Calculation: Steps to Get an Aggregate

- 1) Estimate exploitation rates (ERs) by age for exploitation indicator stocks from recoveries of CWTs;
- 2) Estimate catches in ocean (AABM) fisheries for each escapement indicator stock by expanding estimated terminal run size as a function of ERs;
- 3) Reconstruct the run through forward cohort analysis calibrated against estimated escapements to calculate abundance in ocean fisheries for escapement indicator stocks by stock, age, year, and fishery;
- 4) Predict **catches** by year, stock, age, fishery that would be expected if **ERs** from base period (1979 – 1982) **were still in effect**; and
- 5) Sum predictions across age and stock for a given year and fishery, then divide the sum by the average over the base period.

$$AI_{\text{fishery, year}} = \frac{\sum_{\text{stock age}} \sum_{\text{stock, age, (year-age)}} N_{\text{stock, age, (year-age)}} \times \text{SurvRate}_{\text{age}} \times ER_{\text{fishery, stock, age}}(\text{base}) \times \text{Portion Vul}_{\text{fishery, age}}}{\sum_{\text{year}=1979}^{1982} \left\{ \sum_{\text{stock age}} \sum_{\text{stock, age, (year-age)}} N_{\text{stock, age, (year-age)}} \times \text{SurvRate}_{\text{age}} \times ER_{\text{fishery, stock, age}}(\text{base}) \times \text{Portion Vul}_{\text{fishery, age}} \right\}} / 4$$

The Only Variate Across Years



AI Decomposition

$$AI_{\text{fishery, year}} = \frac{\sum_{\text{stock age}} \sum_{\text{stock, age, (year-age)}} \left[N_{\text{stock, age, (year-age)}} \times \text{SurvRate}_{\text{age}} \times ER_{\text{fishery, stock, age}}(\text{base}) \times \text{Portion Vul}_{\text{fishery, age}} \right]}{\sum_{\text{year}=1979}^{1982} \left\{ \sum_{\text{stock age}} \sum_{\text{stock, age, (year-age)}} \left[N_{\text{stock, age, (year-age)}} \times \text{SurvRate}_{\text{age}} \times ER_{\text{fishery, stock, age}}(\text{base}) \times \text{Portion Vul}_{\text{fishery, age}} \right] \right\} / 4}$$

$$AI_{\text{fishery, year}} = AI_{\text{fishery, stock\#1, year}} + AI_{\text{fishery, stock\#2, year}} + AI_{\text{fishery, stock\#3, year}} + AI_{\text{fishery, stock\#4, year}} + \dots$$

Table I.1. Abundance indices (AIs) for the Southeast Alaska troll fishery by model stock and year. Numbers represent the model stock contribution to the total AI: the summation across all stocks equals the AI total for each calendar year. **(TCCHINOOK11-3 at psc.org)**

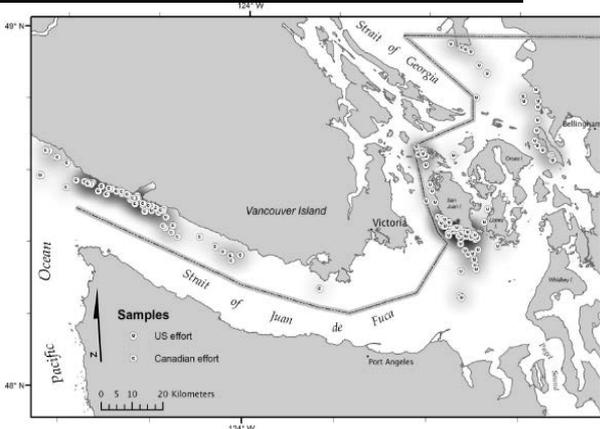
Year	Alaska South SE	North / Centr	Fraser Early	Fraser Late	WCVI Hatchery	WCVI Natural	Georgia St. Upper	Georgia St. Lwr Nat	Georgia St. Lwr Hat	Nooksack Fall	Pgt Sd Fing	Pgt N.
1979	0.03	0.12	0.06	0.00	0.05	0.07	0.04	0.00	0.00	0.00	0.00	0.00
1980	0.03	0.13	0.05	0.00	0.10	0.15	0.05	0.00	0.00	0.00	0.00	0.00
1981	0.04	0.14	0.04	0.00	0.08	0.12	0.04	0.00	0.00	0.00	0.00	0.00
1982	0.05	0.14	0.04	0.00	0.19	0.21	0.04	0.00	0.00	0.00	0.00	0.00
1983	0.05	0.16	0.04	0.00	0.30	0.14	0.03	0.00	0.00	0.00	0.00	0.00

SRKW “Summer” Diets by Chinook Salmon Stock

From presentation
by Brad Hanson
Workshop 1

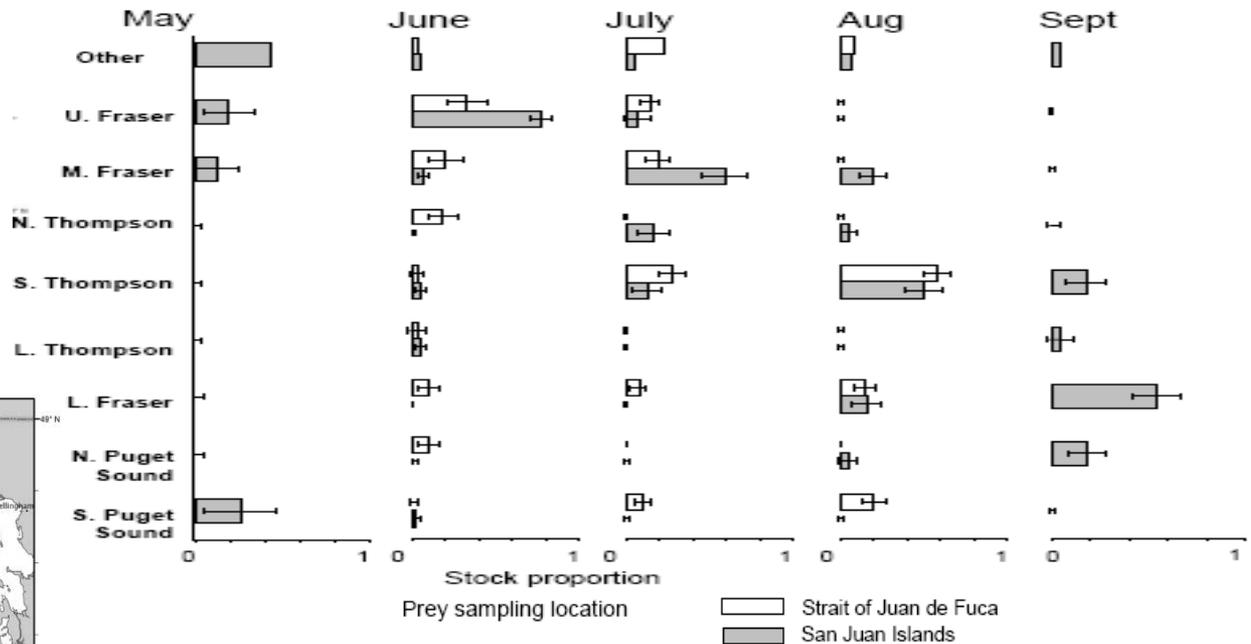
131 Samples
2004/5 - 2008

“Winter” diets of
SRKWs essentially
unknown



SRKW Focal Follow behavioral foraging study

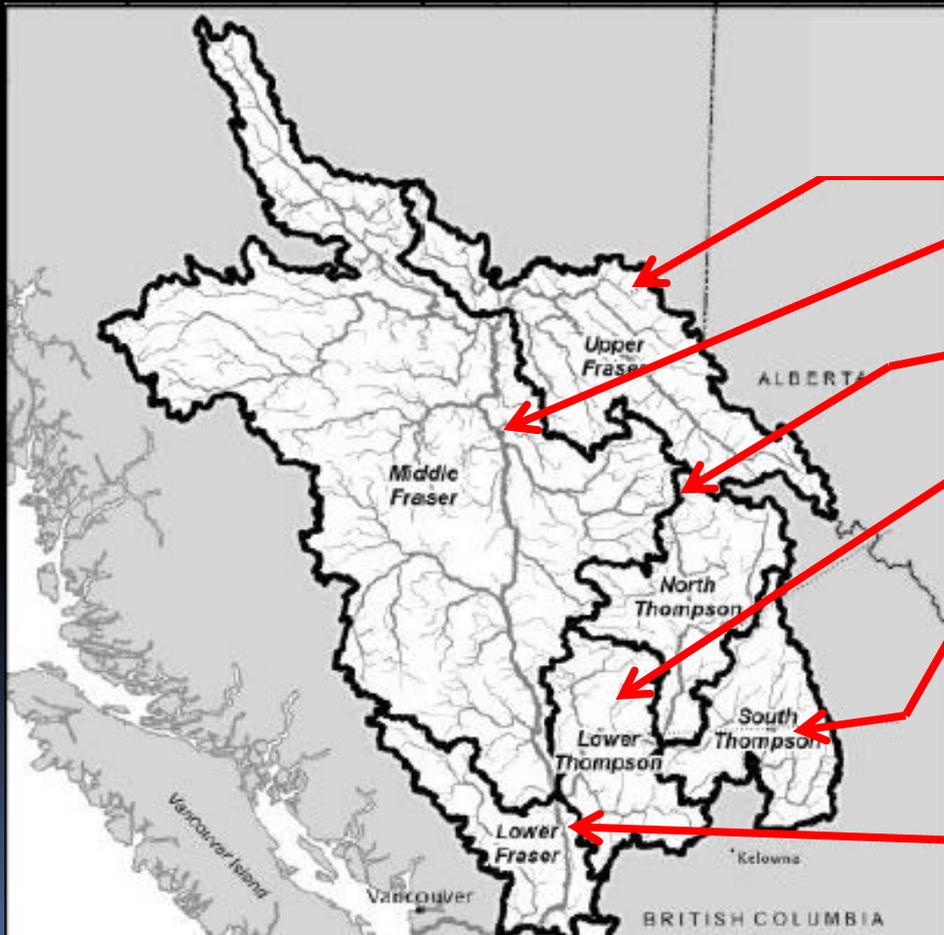
Fraser River is of primary importance



Upper, Middle, and Lower Fraser, and South Thompson are seasonally important

Northwest
Fisheries Science
Center

Fraser Stocks as Grouped for CTC AIs



CTC AI Fraser Early

Upper Fraser
Middle Fraser

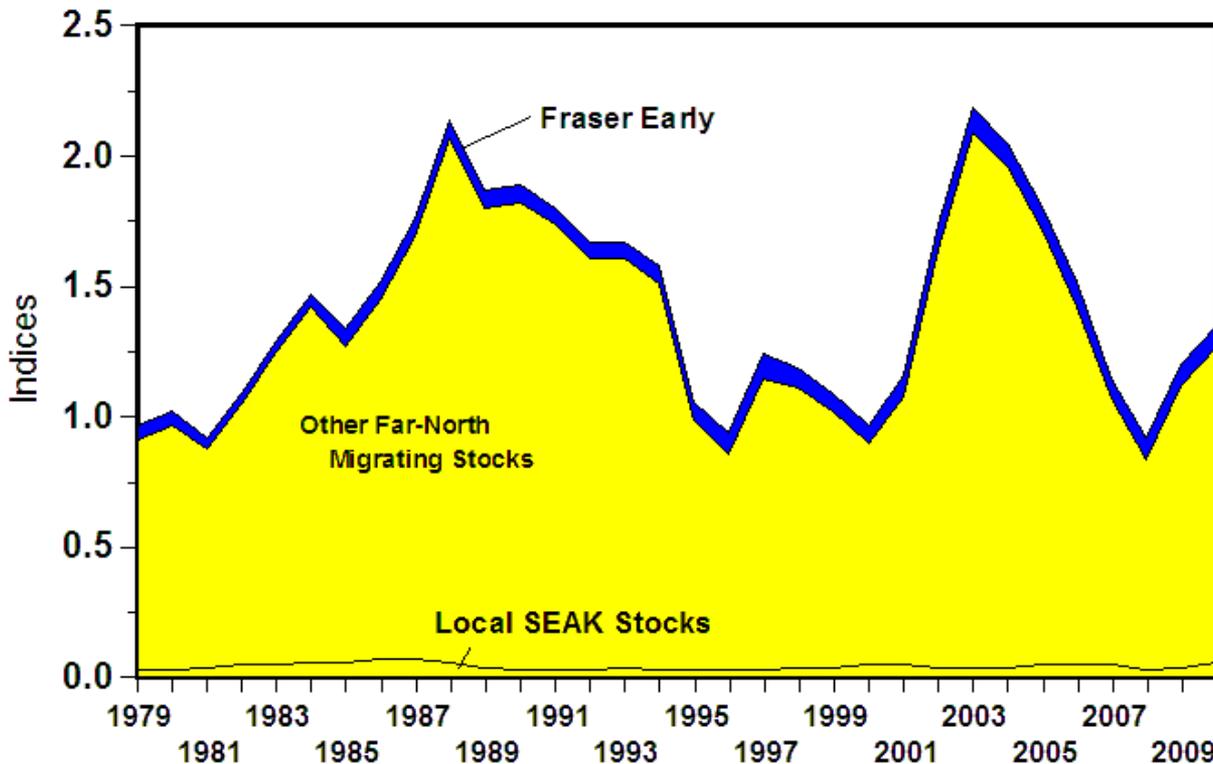
North Thompson
Lower Thompson
South Thompson

CTC AI Fraser Late

Lower Fraser (Harrison)

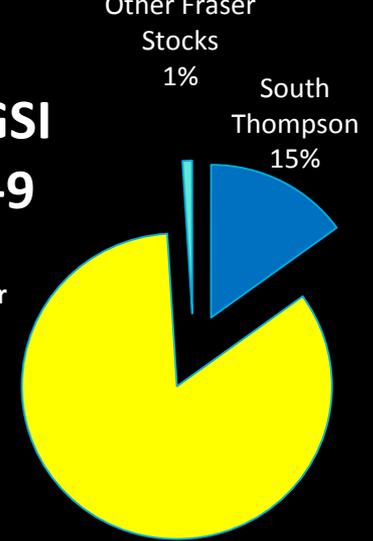
SEAK CTC Abundance Indices/GSI:

CTC Abundance Indices



Troll GSI 2004-9

Non-Fraser Stocks
84%



Unavailable to SRKWs

Fraser Stocks
(Available to SRKWs)

Ranges

Far-North Migrating Stocks

North Oregon Coastals

Mid Columbia

Upper Columbia

Washington Coastals

WCVI

Fraser River

Transboundary Rivers

Other BC Continental Rivers

"Local" Stocks

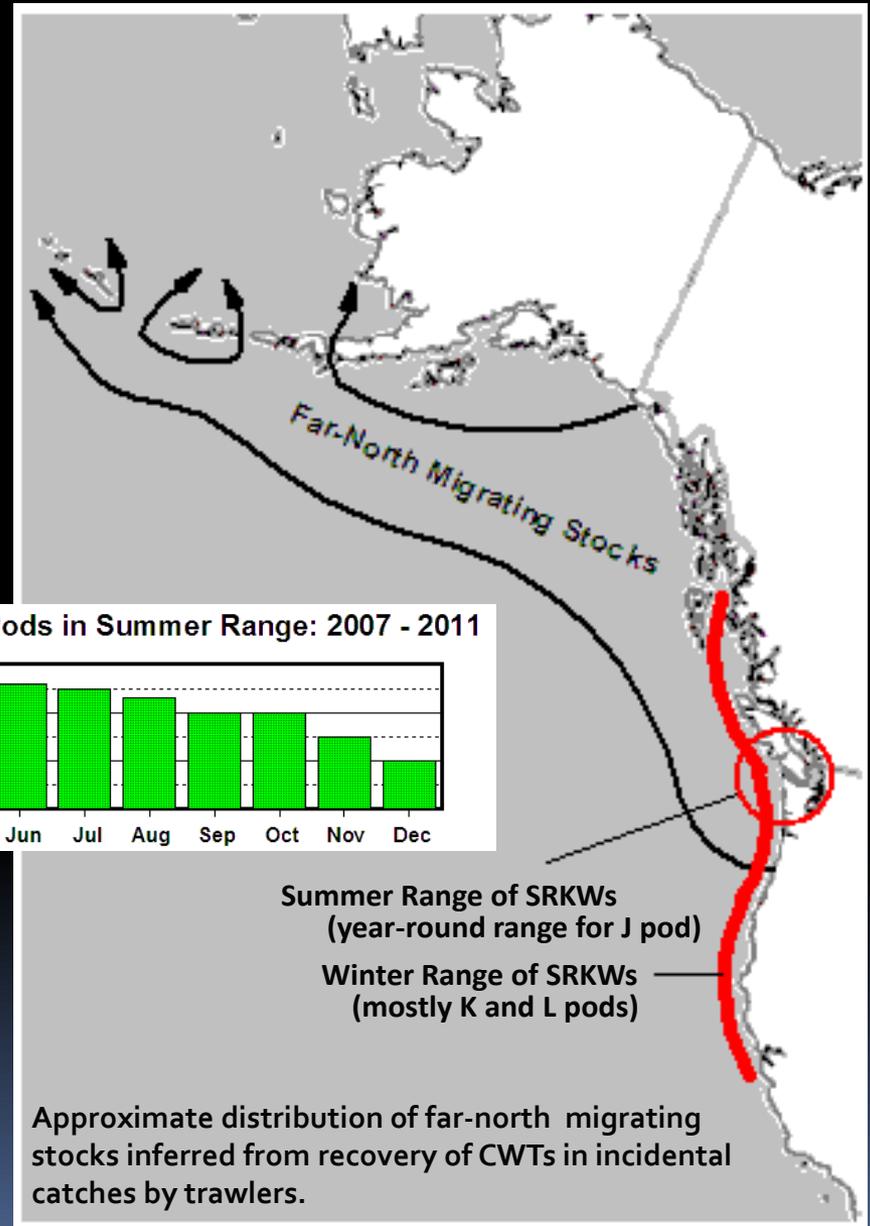
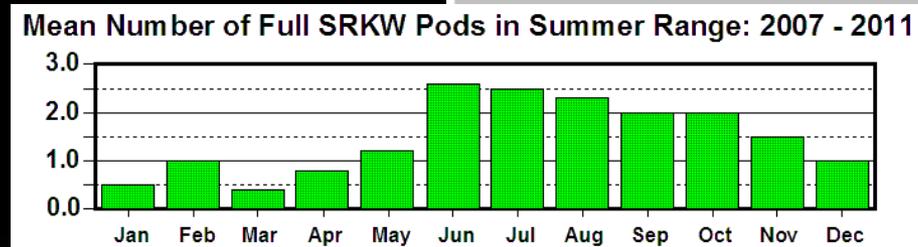
Puget Sound

Georgia Strait

Lower Columbia

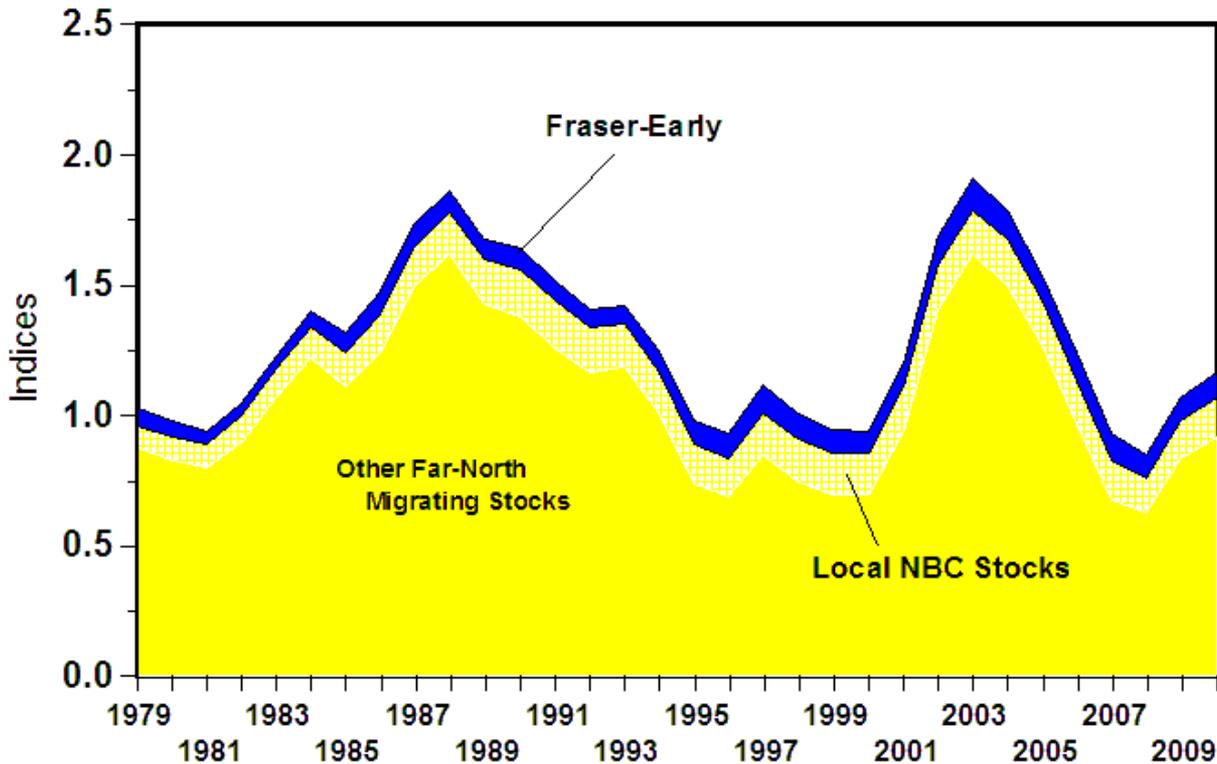
Coastal Streams of

BC and Alaska

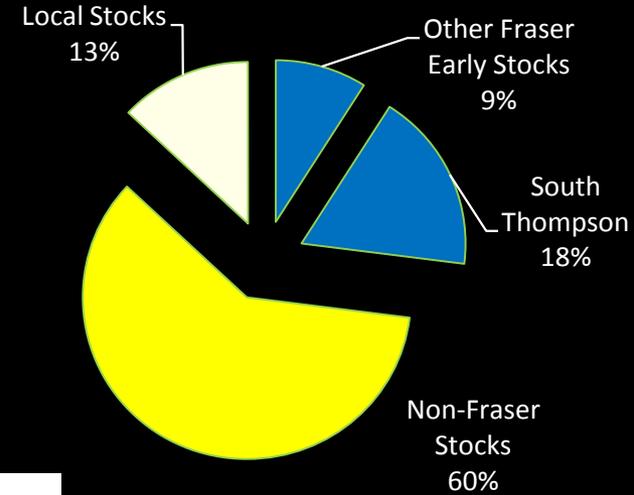


NBC CTC Abundance Indices/GSI:

CTC Abundance Indices

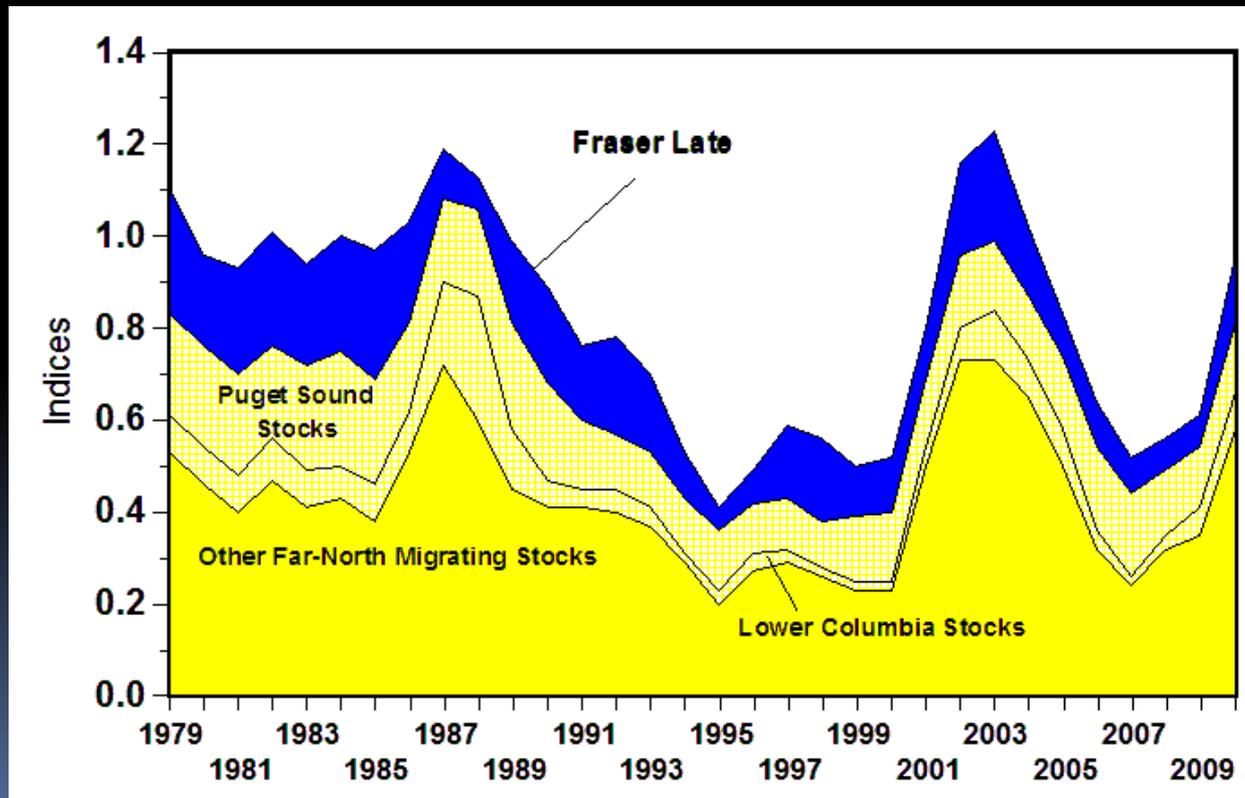


GSI Troll & Sport 2002-5

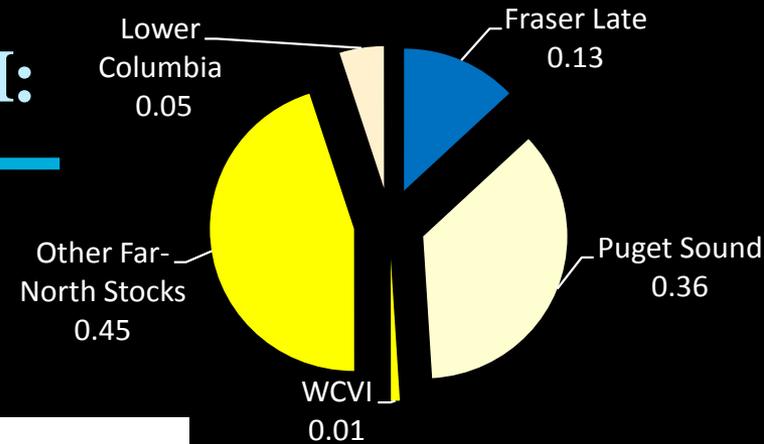


WCVI CTC Abundance Indices/GSI:

CTC Abundance Indices



GSI Troll 2005-6



Unavailable to SRKWs

Available to SRKWs
(perhaps)

Fraser Stocks
(Available to SRKWs)

Stock Attributes of CTC Indices: Summary

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- The majority of stocks in aggregate indices are far-north migrating stocks not from the Fraser River, stocks that are geographically and/ or temporally not, or only partially available to SRKWs.

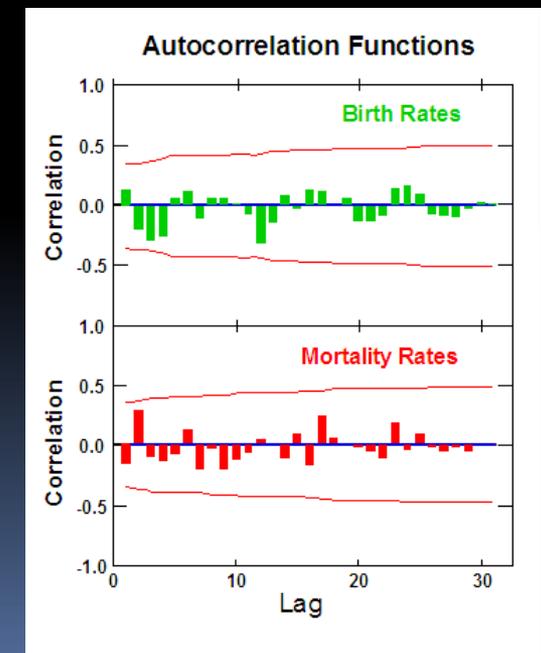
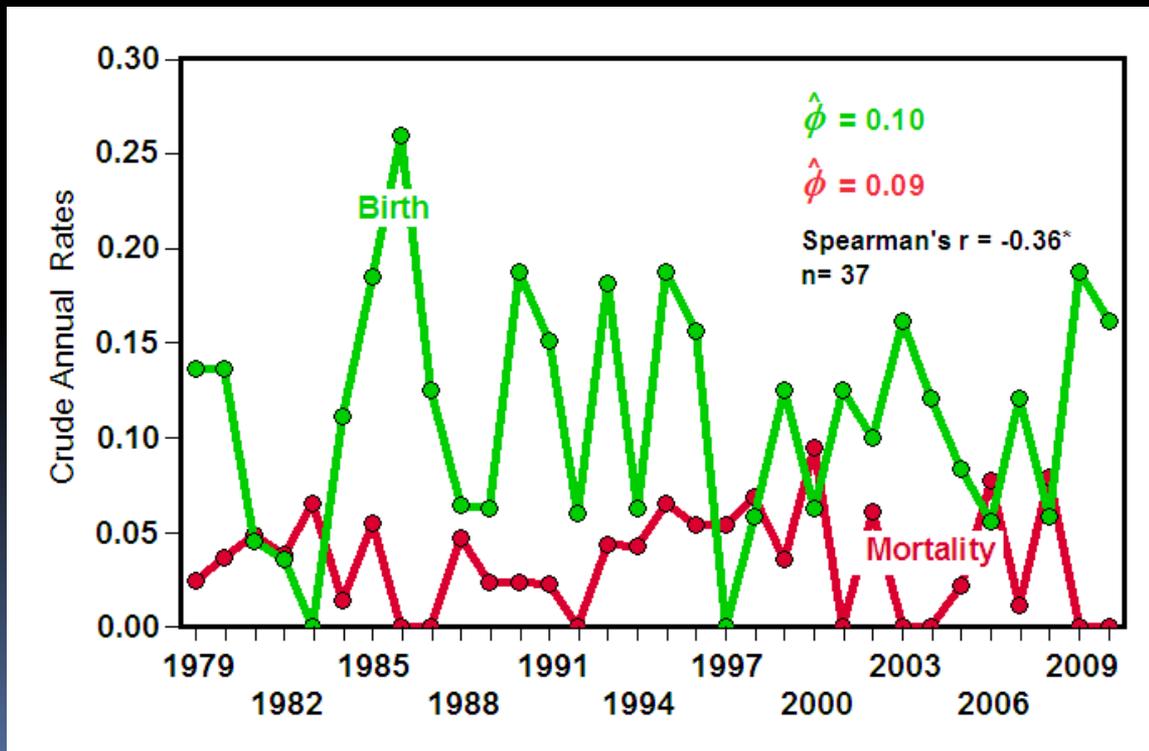
Mortality and Birth Rates of SRKWs

Birth Rates = # Births/ # Mature Females

Mortality Rates = # Deaths/ # in Population

No evidence for serial correlation in either series

$-1 < \phi < 1$
w/ $\phi = 0$ being a lack of
serial correlation



Logistic Regression: Base Model for Birth Rates

Model	AIC
Null (no covariates)	713
Base (null + age polynomial as covariates)	591 (-122)

Akaike Information Criterion (deviance – 2 x number of parameters)

A “measure” of explanatory power of covariates in a single model

Covariates in a single model linked by (and)

Sign on the coefficient

Year of Giving Birth	P-value
Age (and) (-)	0.000408490
Age ² (and) (+)	0.000824508
Age ³ (and) (+)	0.001376552
Age ⁴ (and) (-)	0.001908719
Age ⁵ (+)	0.002380936

Base Model

P ≤ 0.05
Statistically Significant

Non-Significance with Birth Rates

	AIC		AIC	
Null Model	713		693	
Base Model	591	(-122)	575	(-118)
Base + Covariates	591±1	(0)	575±2	(0)

Covariates in different models indicated by (or)

Base Model + Covariate	Year of Giving Birth P-values	Year Before Giving Birth P-values
WCVI AI (or) (+)	0.229020837	0.423101648
NBC AI (or) (+)	0.127833352	0.245819536
SEAK AI (or) (+)	0.268623804	0.342721749
WCVI Puget Sound AI (or) (+)	0.618055957	0.126495453
WCVI Fraser Late AI (and) (+)	0.329634689	0.057598804
NBC Fraser Early AI (+)	0.154452451	0.422152948

Logistic Regression: Base Model for **Mortality** Rates

Base
Model

Year of "Death"	P-value
Age (and) (-)	0.000000000
Age² (and) (+)	0.000000003
Age³ (and) (-)	0.000000117
Age⁴ (and) (+)	0.000001584
Sex (1=&; 2=%; 0 = unknown)	0.016077077

Model	AIC
Null	856
Base	804
Base w/o Sex	808

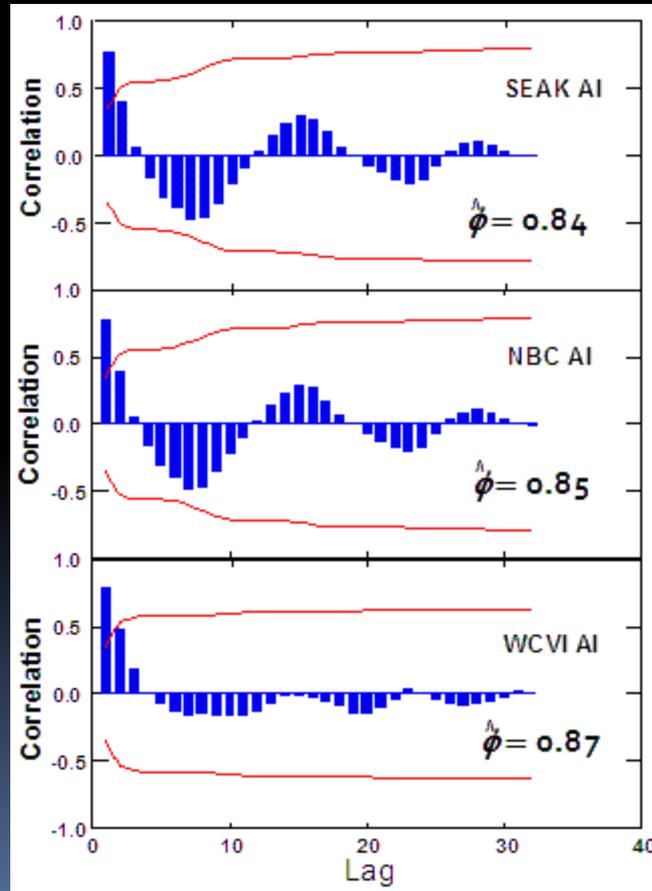
A Problem (?) with Independence

Base Model + Covariate	Year of Death P-values	Year Before Death P-values	Year AFTER Death P-values
WCVI AI (or) (-)	0.000849392	0.002413417	0.003085388
NBC AI (or) (-)	0.000857054	0.005659499	0.002155486
SEAK AI (-)	0.002748031	0.030615968	0.001982907

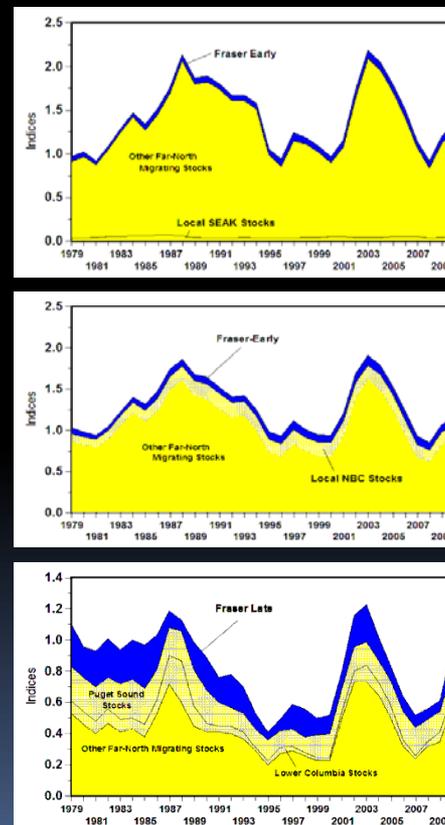
	AIC	AIC	AIC
Null Model	856	844	811
Base Model	804 (-52)	794 (-50)	758 (-52)
Base + WCVI AI	795 (-9)	787 (-7)	751 (-7)
Base + NBC AI	794 (-10)	788 (-6)	750 (-8)
Base + SEAK AI	797 (-8)	792 (-2)	750 (-8)

Strong Serial Correlation in the AIs

Autocorrelation Functions



AI Time Series Plots



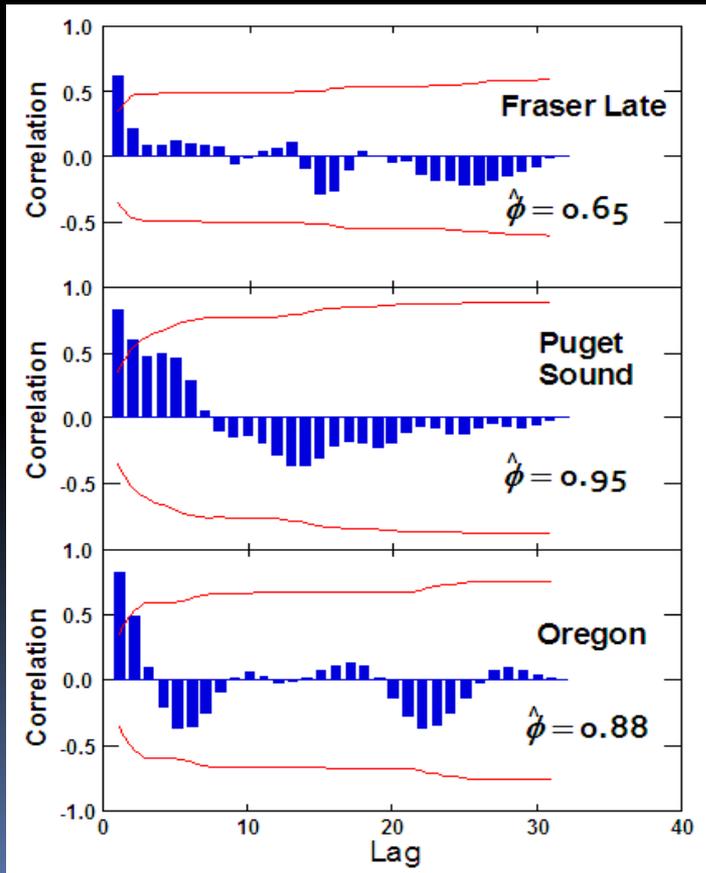
$-1 < \phi < 1$
w/ $\phi = 0$ being a lack of
serial correlation

To some unknown
degree, serial correlation
in AIs is due to using
cohort analysis to
construct indices.

Lack of serial correlation
in **mortality** and **birth**
rates when there is strong
correlation in the AIs is
further evidence AIs have
negligible explanatory
power.

Serial Correlation and Significance: Stock-Specific AIs

Autocorrelation Functions for Stock-specific WCVI AIs



Association between Stock-specific CTC AIs and SKRW **MORTALITY RATES**:

← Fraser Late – NOT significant

← Puget Sound – NOT significant

← Oregon Coastal – SIGNIFICANT

Stock-Specific AIs and A Problem of Geography

Stock-specific AIs for stocks known to occur predominantly in the diet of SRKWs (such as Fraser River stocks) or for Puget Sound stocks are NOT statistically associated with **MORTALITY RATES** of SRKWs .

Instead, significant statistical associations ARE present between **MORTALITY RATES** for SRKWs and stock-specific AIs for other far-north migrating stocks and for the Lower Columbia River stock in the WCVI fisheries.

Base Model + Covariate	Year of Death P-values
WCVI Fraser Late AI (and)	0.179898568
NBC Fraser Early AI (or)	0.882751338
WCVI Puget Sound AI (and)	0.989581957
WCVI Lower Columbia AI (or) (-)	0.026540560
WCVI Far-North AI (not Fraser) (or) (-)	0.001152852
NBC Far-North AI (not Fraser) (or) (-)	0.000423422
SEAK Far-North AI (not Fraser) (or) (-)	0.002150221

A Problem of Geography

Base Model (AIC = 804) + Covariate			Year of Death P-values
WCVI Oregon Coastal AI	(or) (-)	$\Delta AIC = -8$	0.001186200
WCVI Washington Coastal AI	(or) (-)	$\Delta AIC = -8$	0.003596483
WCVI Mid/Upper Columbia AI	(or) (-)	$\Delta AIC = -5$	0.009952326
WCVI WCVI AI	(-)	$\Delta AIC = -2$	0.035123080
When all four far-north migrating stocks groups were run simultaneously [(or) replaced with (and)], no stock group had a statistically significant coefficient, and the AIC = 795			NS (P > 0.05)

While AIs above are significantly associated statistically with SRKW morality rates, they represent negligible fractions ($\leq 1\%$) of ‘variation’ (deviance in the null model) in rates.

Ranges: Source of the Problem

CTC AI Stocks Migrating Outside the Winter and Summer Ranges of SRKWs:

North Oregon Coastals
Upper Columbia
Mid Columbia
Washington Coastals
WCVI
BC Continental Rivers

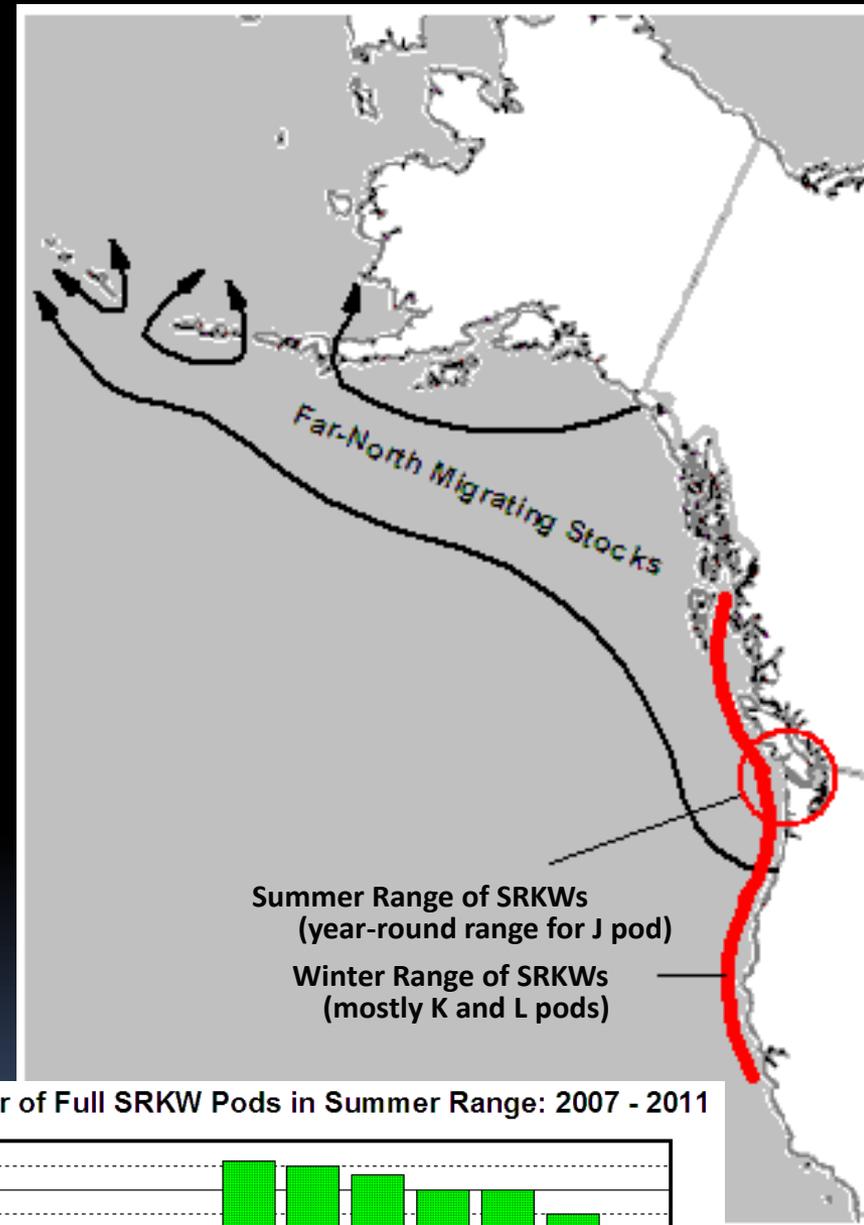
CTC AI Stocks w/ Summer Ranges that overlap the Summer Range of SRKWs:

Fraser Puget Sound

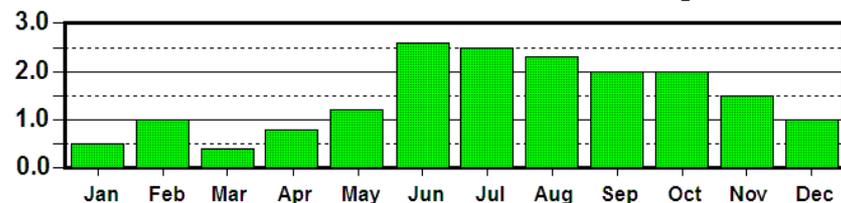
CTC AI Stocks w/ Winter Ranges that overlap the Winter Range of SRKWs:

Puget Sound
Coastal Streams of BC and Alaska
Georgia Strait
Lower Columbia

Only stocks in gold have AIs with statistically significant associations with SRKW MORTALTY RATES .



Mean Number of Full SRKW Pods in Summer Range: 2007 - 2011



General Outcomes Involving Indices

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- Statistical associations between aggregate CTC AIs and SRKW **mortality** rates WERE SIGNIFICANT; and
- In all statistically significant associations between aggregate or stock-specific AIs and SRKW **mortality** rates, the AIs had negligible explanatory power concerning variation in rates.

Specific Outcomes Involving Indices

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- Statistical association between SRKW **mortality** rates and CTC AIs for **Puget Sound** stocks was NOT SIGNIFICANT; and
- Association between **mortality** rates and CTC AIs for the **Lower Columbia River stocks** WAS SIGNIFICANT.

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- No comprehensive knowledge of how exploitation of **exploitation rate indicator stocks** differ from that of **escapement indicator stocks**, the difference causing non-random measurement error; (–)
- Only the CTC AIs for the WCVI AABM fishery represent stocks likely to be frequently encountered in the SRKW winter range (**Puget Sound and Lower Columbia River**); and (–)

A Scientific Contradiction with CTC AIs

Statistical analyses involving CTC AIs indicated an unlikely scientific result given empirical evidence on SRKW diets and ranges:

Chinook stocks that have statistically significant (though negligible) associations with SRKW **mortality** rates are stocks least likely encountered by the whales, while those stocks encountered the most (in the summer at least) have no discernable statistical association at all. (—)

A Stream Bank on the South Thompson River, 2006

Courtesy of Richard Bailey, CDFO

Some that got away





Acknowledgments

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